

# The Covering Radius of Rank-Metric Codes

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Algebraic Coding Theory for Networks, Storage, and Security  
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### Definition

A **(rank-metric) code** is a non-empty subset  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$ . We assume  $n \leq m$  w.l.o.g.

The **(rank) distance** between matrices  $M, N \in \mathbb{F}_q^{n \times m}$  is  $\text{rk}(M - N)$ .

If  $|\mathcal{C}| \geq 2$ , then the **minimum distance** of  $\mathcal{C}$  is

$$d(\mathcal{C}) := \min\{\text{rk}(M - N) \mid M, N \in \mathcal{C}, M \neq N\}.$$

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We say that  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  is **linear** if it is an  $\mathbb{F}_q$ -subspace of  $\mathbb{F}_q^{n \times m}$ . In this case the **dual** of  $\mathcal{C}$  is the linear code

$$\mathcal{C}^\perp := \{N \in \mathbb{F}_q^{n \times m} \mid \text{Tr}(MN^t) = 0 \text{ for all } M \in \mathcal{C}\} \subseteq \mathbb{F}_q^{n \times m}.$$

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- Introduced independently by Gabidulin and Roth.
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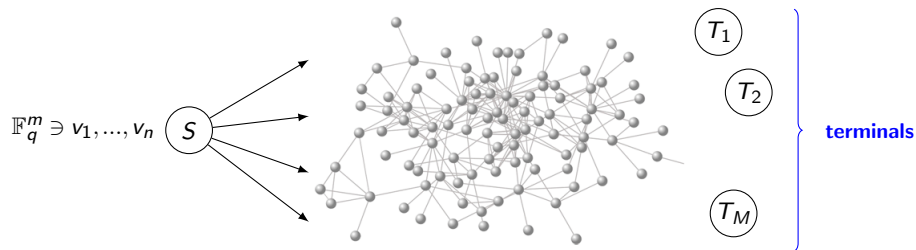
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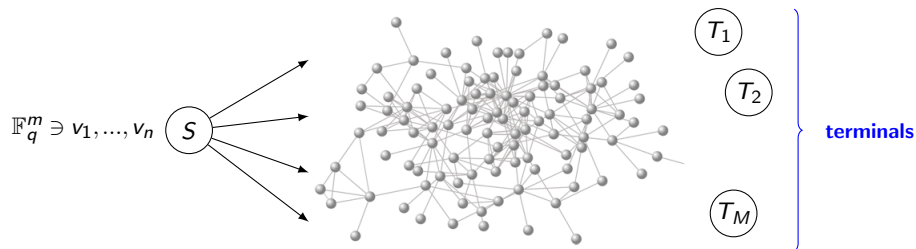
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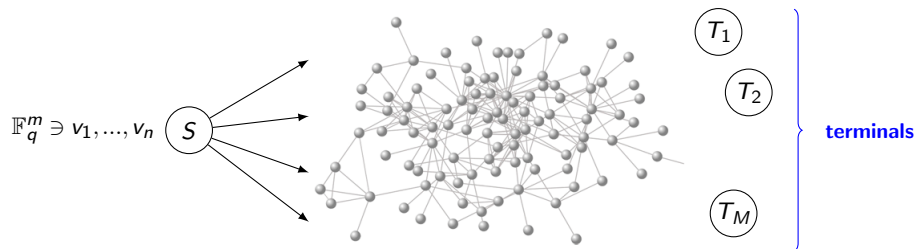
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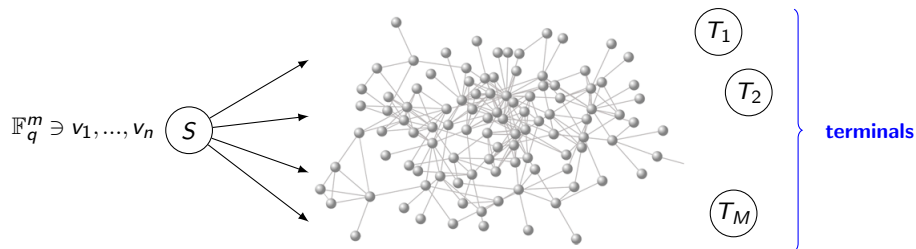
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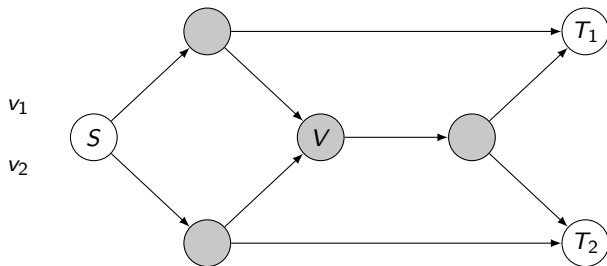
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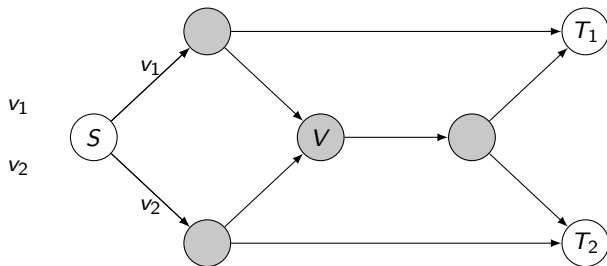
Maximize the messages that are transmitted to **all** terminals per channel use (**rate**).

**IDEA** (Ahlsvede-Cai-Li-Yeung 2000): allow the nodes to recombine packets.

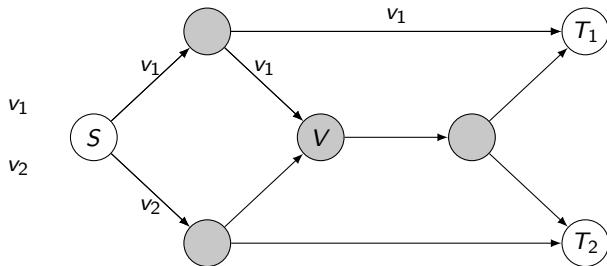
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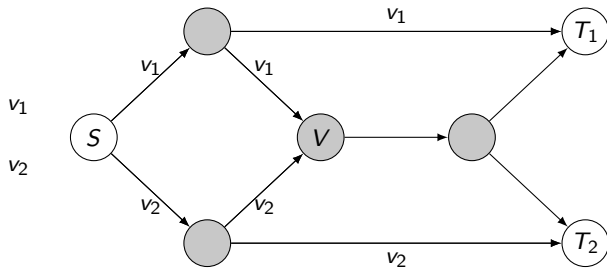
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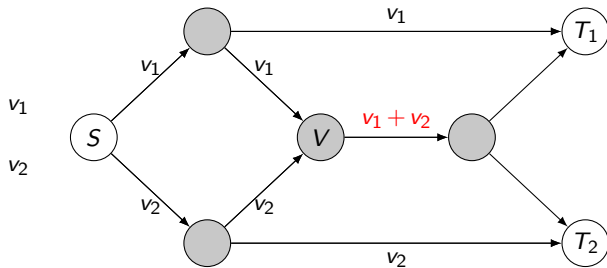
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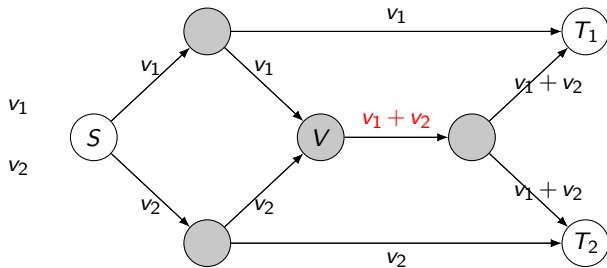
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## The "Butterfly" network



This strategy is better than routing.





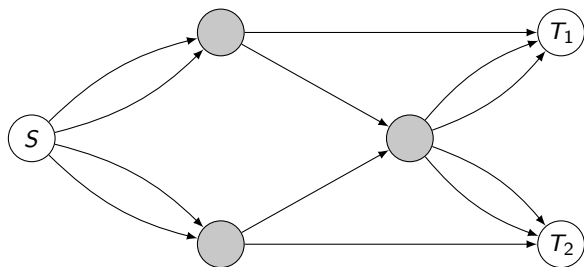
### The model

One adversary can change the value of up to  $t$  edges ( $t$  is the adversarial *strength*).

## Error correction in networks

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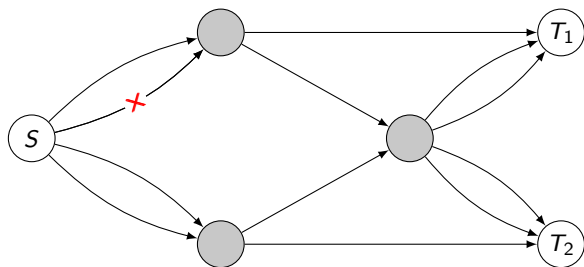
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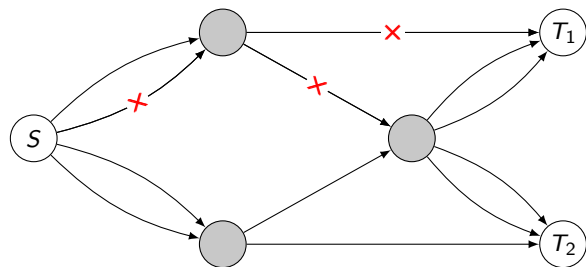
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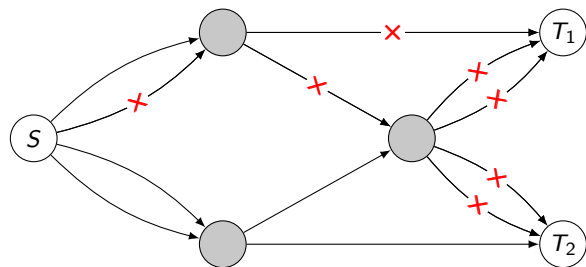
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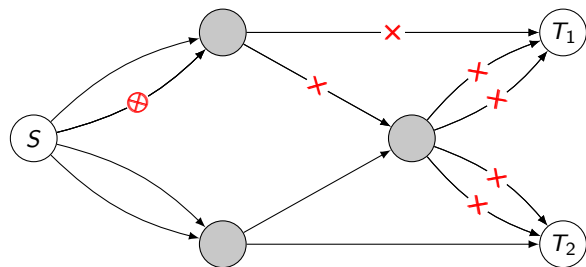
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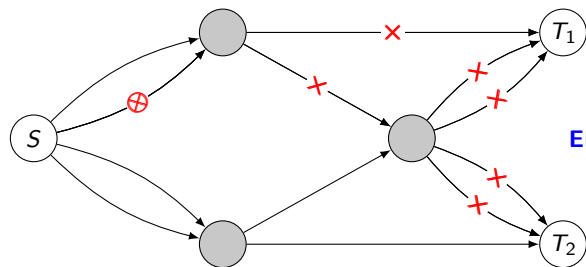
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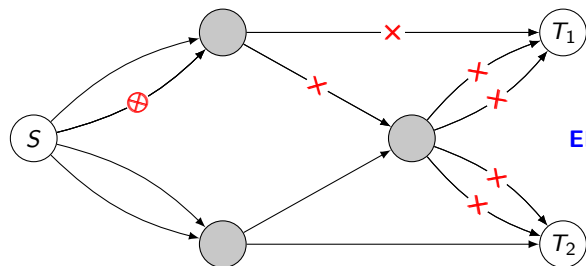




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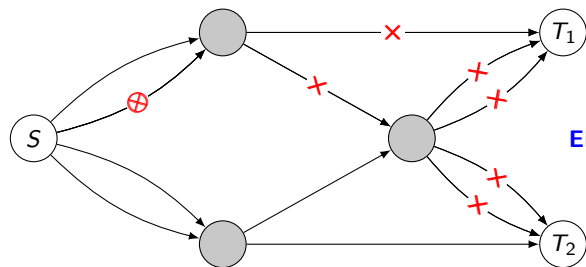
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**ERROR AMPLIFICATION**

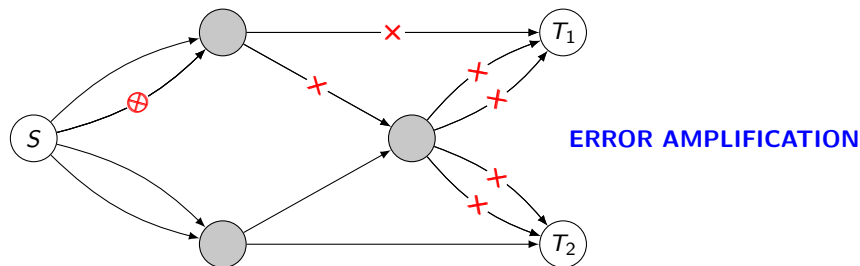
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**Natural approach:** number of corrupted edges as a measure for the “disaster”.

**Convenient approach:** use rank-metric codes.

According to the rank metric, **errors** propagate but **do not amplify**.

## Covering Radius

Back to the mathematical theory of rank-metric codes...

Byrne, R., *Covering radius of matrix codes endowed with the rank metric.*  
SIAM J. Discrete Math.

### Definition

The **covering radius** of a code  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  is the integer

$$\rho(\mathcal{C}) := \min\{i \in \mathbb{N} \mid \text{for all } X \in \mathbb{F}_q^{n \times m} \text{ there exists } M \in \mathcal{C} \text{ with } d(X, M) \leq i\}$$

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$\rho(\mathcal{C})$  is the minimum value  $r$  such that the union of the spheres of radius  $r$  about the codeword cover the ambient space.

Covering radius of vector rank-metric codes ( $\mathbb{F}_{q^m}$ -linear) studied by Gadouneau-Yan:  
Gadouneau, Yan *Packing and Covering Properties of Rank Metric Codes*.  
IEEE Transactions Inf. Th.

## Lemma

Let  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  be a code. The following hold.

- 1  $0 \leq \rho(\mathcal{C}) \leq n$ . Moreover,  $\rho(\mathcal{C}) = 0$  if and only if  $\mathcal{C} = \mathbb{F}_q^{n \times m}$ .
- 2 If  $\mathcal{D} \subseteq \mathbb{F}_q^{n \times m}$  is a code with  $\mathcal{C} \subseteq \mathcal{D}$ , then  $\rho(\mathcal{C}) \geq \rho(\mathcal{D})$ .
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# First properties of the covering radius

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A code  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  is **maximal** if  $|\mathcal{C}| = 1$  or  $|\mathcal{C}| \geq 2$  and there is no code  $\mathcal{D} \subseteq \mathbb{F}_q^{n \times m}$  with  $\mathcal{D} \supsetneq \mathcal{C}$  and  $d(\mathcal{D}) = d(\mathcal{C})$ . In particular,  $\mathbb{F}_q^{n \times m}$  is maximal.

## Proposition

A code  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  with  $|\mathcal{C}| \geq 2$  is maximal if and only if  $\rho(\mathcal{C}) \leq d(\mathcal{C}) - 1$ .



# Maximality

We introduce a parameter that measures the maximality of a code.

## Definition

The **maximality degree** of a code  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  with  $|\mathcal{C}| \geq 2$  is the integer defined by

$$\mu(\mathcal{C}) := \begin{cases} \min\{d(\mathcal{C}) - d(\mathcal{D}) \mid \mathcal{D} \subseteq \mathbb{F}_q^{n \times m} \text{ is a code with } \mathcal{D} \supsetneq \mathcal{C}\} & \text{if } \mathcal{C} \subsetneq \mathbb{F}_q^{n \times m}, \\ 1 & \text{if } \mathcal{C} = \mathbb{F}_q^{n \times m}. \end{cases}$$

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Remarks:

- $\mu(\mathcal{C})$  is the “minimum price” (in terms of minimum distance) that one has to pay in order to enlarge  $\mathcal{C}$  to a bigger code,
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## Proposition (Byrne-R.)

For any code  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  with  $|\mathcal{C}| \geq 2$  we have  $\mu(\mathcal{C}) = d(\mathcal{C}) - \min\{\rho(\mathcal{C}), d(\mathcal{C})\}$ .  
In particular, if  $\mathcal{C}$  is maximal then  $\rho(\mathcal{C}) = d(\mathcal{C}) - \mu(\mathcal{C})$ .

## Translates of a code

For a code  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$ , let  $W_i(\mathcal{C}) := |\{M \in \mathcal{C} \mid \text{rk}(M) = i\}|$ .

The **translate** of a code  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  by a matrix  $X \in \mathbb{F}_q^{n \times m}$  is the code

$$\mathcal{C} + X := \{M + X : M \in \mathcal{C}\} \subseteq \mathbb{F}_q^{n \times m}.$$

### Remark

Full knowledge of the weight distribution of the translates of  $\mathcal{C}$  tells us the covering radius, as

$$\rho(\mathcal{C}) = \max_{X \in \mathbb{F}_q^{n \times m}} \min_{N \in \mathcal{C} + X} \text{rk}(N).$$

Even partial information may yield a bound on the covering radius.

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We now express the weight distribution

$$W_0(\mathcal{C} + X), \dots, W_n(\mathcal{C} + X)$$

of the translate  $\mathcal{C} + X$  of a linear code  $\mathcal{C} \subseteq \mathbb{F}_q^{k \times n}$  in terms of

$$W_0(\mathcal{C} + X), \dots, W_{n-d^\perp}(\mathcal{C} + X), \quad \text{where } d^\perp = d(\mathcal{C}^\perp).$$

As an application, we obtain an upper bound on the covering radius of a linear code.

## Translates of a code

Weight distribution of translates.

### Theorem (Byrne-R.)

Let  $\mathcal{C} \subsetneq \mathbb{F}_q^{n \times m}$  be a linear code, and let  $X \in \mathbb{F}_q^{n \times m}$ . Write  $d^\perp := d(\mathcal{C}^\perp)$ . Then for all  $i \in \{n - d^\perp + 1, \dots, n\}$  we have

$$W_i(\mathcal{C} + X) = \sum_{u=0}^{n-d^\perp} (-1)^{i-u} q^{\binom{i-u}{2}} \begin{bmatrix} n-u \\ i-u \end{bmatrix}_q \sum_{j=0}^u W_j(\mathcal{C} + X) \begin{bmatrix} n-j \\ u-j \end{bmatrix}_q + \sum_{u=n-d^\perp+1}^i \begin{bmatrix} n \\ u \end{bmatrix}_q \frac{|\mathcal{C}|}{q^{m(k-u)}}.$$

In particular, the distance distribution of the translate  $\mathcal{C} + X$  is completely determined by  $n$ ,  $m$ ,  $|\mathcal{C}|$  and the weights  $W_0(\mathcal{C} + X), \dots, W_{n-d^\perp}(\mathcal{C} + X)$ .

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Let  $X \in \mathbb{F}_q^{n \times m} \notin \mathcal{C}$  be arbitrary. Then  $W_0(\mathcal{C} + X) = 0$ .

Apply the Theorem with  $i := n - d^\perp + 1$  and obtain:

## Translates of a code and dual distance bound

For  $X \in \mathbb{F}_q^{n \times m} \notin \mathcal{C}$  arbitrary:

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In particular,  $W_1(\mathcal{C} + X), \dots, W_{n-d^\perp+1}(\mathcal{C} + X)$  cannot be all zero!

Since  $X$  was arbitrary, this implies the following.

Corollary (dual distance bound, Byrne-R.)

For any linear code  $\mathcal{C} \subsetneq \mathbb{F}_q^{n \times m}$  we have  $\rho(\mathcal{C}) \leq n - d(\mathcal{C}^\perp) + 1$ .

We have other bounds for linear / non-linear codes.

## Initial sets

Let  $a, b \in \mathbb{Z}_{>0}$  and  $S \subseteq \{1, \dots, a\} \times \{1, \dots, b\}$ . The **characteristic matrix**  $\mathbb{I}(S) \in \mathbb{F}_2^{a \times b}$  of  $S$  is defined by

$$\mathbb{I}(S)_{ij} := \begin{cases} 1 & \text{if } (i, j) \in S, \\ 0 & \text{if } (i, j) \notin S \end{cases}$$

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Moreover, we denote by  $\lambda(S)$  the minimum number of lines (rows or columns) required to cover all the ones in  $\mathbb{I}(S)$ .

### Example

Let  $a = 2$ ,  $b = 3$  and  $S = \{(1, 1), (1, 2), (2, 2), (2, 3)\}$ . Then

$$\mathbb{I}(S) := \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \end{bmatrix} \in \mathbb{F}_2^{2 \times 3} \quad \text{and} \quad \lambda(S) = 2.$$

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The **initial entry** of a matrix  $M \in \mathbb{F}_q^{n \times m}$ ,  $M \neq 0$ , is

$$\text{in}(M) := \min\{(i, j) \in \{1, \dots, n\} \times \{1, \dots, m\} \mid M_{ij} \neq 0\} \quad \text{lexicographically.}$$

## Example

Let

$$M := \begin{bmatrix} 0 & 0 & 4 & 2 & 0 \\ 1 & 0 & 3 & 2 & 1 \end{bmatrix} \in \mathbb{F}_5^{2 \times 5}$$

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The **initial set** of a non-zero linear code  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  is

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First properties of the initial set.

### Remark

Let  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  be a non-zero linear code. Then

$$\dim(\mathcal{C}) = |\text{in}(\mathcal{C})|.$$

### Theorem (initial set bound, Byrne-R.)

Let  $\{0\} \neq \mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  be a linear code. Let  $S := \{1, \dots, n - d(\mathcal{C}) + 1\} \times \{1, \dots, m\} \setminus \text{in}(\mathcal{C})$ .  
Then

$$\rho(\mathcal{C}) \leq d(\mathcal{C}) - 1 + \lambda(S).$$



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Let  $q = 2$  and  $n = m = 3$ . Let  $\mathcal{C}$  be the linear code generated by

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So  $\lambda(S) = 1$  and (by the Theorem)  $\rho(\mathcal{C}) \leq d(\mathcal{C}) - 1 + \lambda(S) = 2$ .

The other bounds give  $\rho(\mathcal{C}) \leq 3$ .

## Other results

If  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  is a linear code of dimension  $k$  and  $m \gg 0$ , then we can say what the “expected” covering radius of  $\mathcal{C}$  is for  $q \rightarrow +\infty$ .

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### Theorem (Byrne-R.)

Let  $0 \leq k \leq nm$  be an integer. Denote by  $\mathcal{F}$  the family of linear codes  $\mathcal{C} \subseteq \mathbb{F}_q^{n \times m}$  of dimension  $k$ . Let  $\mathcal{F}' := \{\mathcal{C} \in \mathcal{F} \mid \rho(\mathcal{C}) = n - \lfloor k/m \rfloor\}$ . Then

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**Thank you!**